DT03 Rec'd PCT/PTO 0 8 OCT 2004

PTO-1390 (Rev. 10-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES SPM-381-A DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE April 10, 2003 PRIORITY DATE CLAIMED PCT/EP03/03750 April 12, 2002 TITLE OF INVENTION CONTROL DEVICE FOR NON-POSITIVE CONNECTIONS APPLICANT(S) FOR DO/EO/US Holger Luthje, Saskia Biehl, and Ralf Bandorf Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). A has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). (unsigned) An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. X Other items or information: a red-lined specification

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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21. X The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)–(5)): Neither international preliminary examination fee (37 CFR 1.482) not price international preliminary examination fee (37 CFR 1.482) not paid to USPTO and International Search (e. 37 CFR 1.482) not paid to USPTO but niternational preliminary examination fee (37 CFR 1.482) not paid to USPTO but niternational preliminary examination fee (37 CFR 1.482) not paid to USPTO but niternational preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims satisfied provisions of PCT Article 33(1)-(4). ENTER APPROPRIATE BASIC FEE AMOUNT = \$950 00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ Total claims \$20 = X \$18.00 \$ Independent claims \$20 = X \$18.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$2 = X \$18.00 \$2 = X \$18.00 \$2 = X \$18.00 \$3 = X \$1
BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) not riternational search Rej (37 CFR 1.482) not paid to USPTO and International Search Report not prepared by the EPO or JPO
nor international Search (eq. (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO
USPTO but International Search Report prepared by the EPO or JPO \$950.00
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4)
and all claims satisfied provisions of PCT Article 33(1)-(4)
from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims -20 =
Total claims -20 = X \$18.00 \$ Independent claims -3 = X \$88.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 \$ TOTAL OF ABOVE CALCULATIONS = \$1,080 00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$1.080 00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$1,080 00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$1,080 00 Amount to be refunded: \$ Amount to be refunded: \$
Independent claims - 3 =
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Amount to be refunded: Amount to be \$ Amount to be \$
Amount to be refunded: Amount to be \$ Amount to be \$
a. A check in the amount of \$ 1,080.00 to cover the above fees is enclosed.
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 25-0115. A duplicate copy of this sheet is enclosed.
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.
SEND ALL CORRESPONDENCE TO:
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Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find an application for U.S. Patent as identified below.

Inventors:

Holger Lüthje, Saskia Biehl, and Ralf Bandorf

Invention:

CONTROL DEVICE FOR NON-POSITIVE CONNECTIONS

and including: Postcard; Transmittal Letter to the United States

Designated/Elected Office (PTO Form 1390); a copy of the English translation of International

Application No. PCT/EP03/03750; a red-lined specification; and a Substitute Specification; four

(4) sheets of drawings; an unsigned Combined Declaration and Power of Attorney; a Preliminary

Amendment; an Information Disclosure Statement, PTO Form 1449 and copies of foreign

references cited; and an Application Data Sheet.

Filing Fee:

\$1,080.00

Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account No. 25-0115.

Darlene P. Condra Attorney for Applicant Registration No. 37113 (248) 649-3333

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